

# Form 605

Corporations Act 2001

Section 671B

## Notice of ceasing to be a substantial holder

To Company Name/Scheme CARDINAL RESOURCES LIMITED

ACN/ARSN ACN 147 325 620

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder ceased to be a substantial holder on 30-Jul-2020

The previous notice was given to the company on 16-Jul-2020

The previous notice was dated 16-Jul-2020

### 2. Changes in relevant interests

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
15-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	74,213.74 AUD	-125,786 Common Stock	-125,786
15-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	41,495.29 AUD	-70,331 Common Stock	-70,331
15-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	271,876.01 AUD	394,929 Common Stock	394,929
15-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	8,537.50 AUD	12,500 Common Stock	12,500
15-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	610,557.52 AUD	886,661 Common Stock	886,661
16-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	138,015.75 AUD	-233,925 Common Stock	-233,925
16-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	4,869.67 AUD	7,109 Common Stock	7,109
16-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	181,040.90 AUD	262,748 Common Stock	262,748
17-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	5,212.50 AUD	-7,500 Common Stock	-7,500
17-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	171,925.0 AUD	-250,000 Common Stock	-250,000
17-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	4,507.77 AUD	6,533 Common Stock	6,533
17-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,484.0 AUD	3,600 Common Stock	3,600
17-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	218,994.96 AUD	317,384 Common Stock	317,384
20-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	57,478.35 AUD	-83,910 Common Stock	-83,910

20-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	3,425.0 AUD	-5,000 Common Stock	-5,000
20-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	18,285.0 AUD	-26,500 Common Stock	-26,500
20-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	152,895.43 AUD	220,596 Common Stock	220,596
20-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	19,935.38 AUD	28,684 Common Stock	28,684
20-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,432.50 AUD	3,500 Common Stock	3,500
21-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	23,075.0 AUD	32,500 Common Stock	32,500
21-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	28,847.30 AUD	40,630 Common Stock	40,630
21-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	368,420.53 AUD	523,741 Common Stock	523,741
22-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	166,220.55 AUD	232,967 Common Stock	232,967
22-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,465.0 AUD	3,400 Common Stock	3,400
22-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	1,784.95 AUD	2,462 Common Stock	2,462
22-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	5,586.48 AUD	-8,064 Common Stock	-8,064
22-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	95,328.75 AUD	-138,660 Common Stock	-138,660
23-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,482.0 AUD	3,400 Common Stock	3,400
23-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	74,651.33 AUD	102,164 Common Stock	102,164
23-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	46,694.61 AUD	-65,841 Common Stock	-65,841
24-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	72,590.32 AUD	-100,797 Common Stock	-100,797
24-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	7,350.0 AUD	10,000 Common Stock	10,000
27-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	65,439.36 AUD	-89,688 Common Stock	-89,688
27-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	78,983.57 AUD	107,588 Common Stock	107,588
27-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,499.0 AUD	3,400 Common Stock	3,400
28-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,482.0 AUD	-3,400 Common Stock	-3,400
28-Jul-20	Credit Suisse Equities (Australia) Limited	Acquired on market	8,280.0 AUD	11,500 Common Stock	11,500
29-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	91,300.97 AUD	-124,219 Common Stock	-124,219

29-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	13,768.02 AUD	-18,732 Common Stock	-18,732
30-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	485,110.62 AUD	-670,273 Common Stock	-670,273
30-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,482.0 AUD	-3,400 Common Stock	-3,400
30-Jul-20	Credit Suisse Equities (Australia) Limited	Disposed on market	8,650,527.01 AUD	-11,850,037 Common Stock	-11,850,037
21-Jul-20	Credit Suisse Equities (Australia) Limited	Stock returned under ASLA	Refer to Annexure A of Notice	-200,000 Common Stock	-200,000
21-Jul-20	Credit Suisse Equities (Australia) Limited	Stock returned under ASLA	Refer to Annexure A of Notice	-650,000 Common Stock	-650,000

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia

## Signature

print name Winston Loke

capacity Authorised Signatory

sign here

date 3-Aug-2020



Annexure "A"

This is Annexure "A" referred to in the Form 605 "Notice of ceasing to be a substantial holder"




**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	3-Aug-2020

---



---

**Prescribed information**

## Schedule

Type of agreement	Australian Securities Lending Agreement
Parties to agreement	Credit Suisse Equities (Australia) Limited and Merrill Lynch Equities (Australia) limited
Transfer date	21-Jul-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	With 2 business days prior to a notice by Middy.
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information**

## Schedule

Type of agreement	Australian Securities Lending Agreement
Parties to agreement	Credit Suisse Equities (Australia) Limited and Macquarie Bank Limited
Transfer date	21-Jul-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	With 2 business days prior to a notice by Middy.
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.